

OFFICE OF COMPLIANCE AND ETHICS ACTIVITY REPORT

June 2020

THE BREAKDOWN

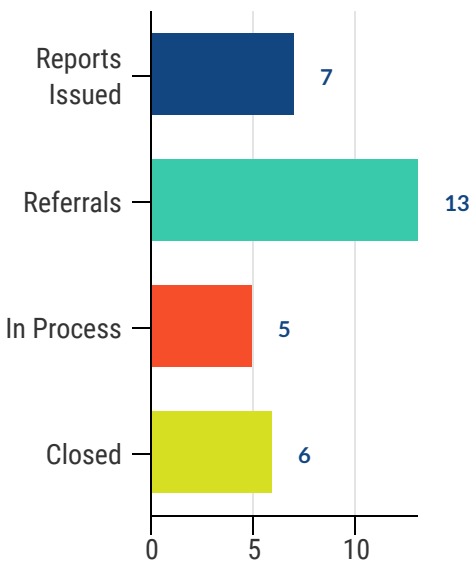
Education, Personnel, and Outreach:

Personnel

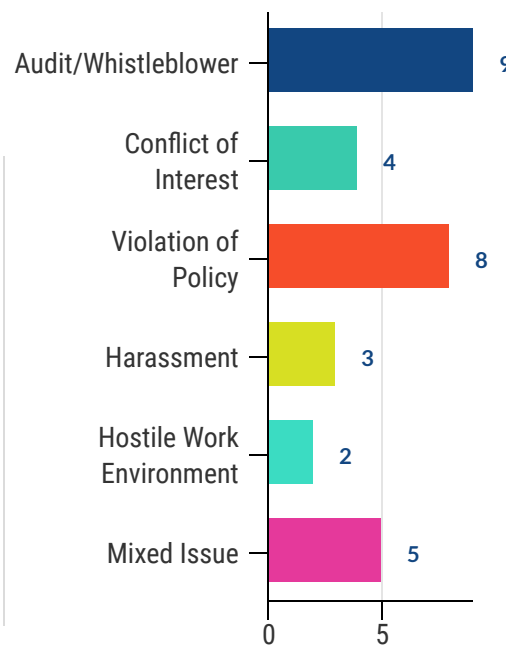
Mandatory Training

Conflict of Interest






Investigation Activities



Allegation Type



Mandatory Training

-  Training window extended to April 15
-  Completion lists sent to Senior leadership and Deans weekly, with final non-compliance list provided on April 24, 2020
-  Approximately 3100 users: faculty and staff (including OPS) and targeted student employees
-  Completion Results:
Clery- 66%
Code of Conduct-66%
Cybersecurity- 69%
Sexual Harass. Prev. - 66%
-  Accountability and Outreach Phase

June Snapshot

1. Enterprise Compliance Committee Meeting (ECC)- The ECC met to discuss compliance updates and next steps.
 - a. Research Security
 - b. COVID-19
2. Technology Upgrades: OCE is pursuing an upgrade to the University's Compliance and Ethics Hotline and an independent training platform
3. Personnel: OCE has partnered with Intercollegiate Athletics and the Division of Research to identify dedicated compliance coordinators for athletics and grants/research security, respectively.